



## **POLICY ON PREVENTION OF MONEY LAUNDERING AND TERRORISM FINANCING**

**Monday, March 27, 2023**

It is ALEXIM's policy, under law, to reject any act of Money Laundering and Terrorism Financing, being committed to implementing an ML/TF Prevention System within the organization in accordance with the current legal framework. ALEXIM declares that:

ALEXIM will not initiate or maintain commercial or contractual relationships with individuals or entities who fall under the following conditions:

- Individuals or legal entities if they, or any of their directors, managers, or shareholders, have been convicted of the crimes of Money Laundering, Terrorism Financing, or related predicate offenses such as Drug Trafficking, Tax and Customs Crimes, Illegal Mining, Kidnapping, Extortion, among others, at the national or international level.
- Individuals or legal entities if they, or any of their directors, managers, or shareholders, appear on the negative lists of: the OFAC List (Office of Foreign Assets Control of the U.S. Department of the Treasury), the United Nations Security Council Terrorist List, or lists related to the Financing of the Proliferation of Weapons of Mass Destruction issued by the UN Security Council.
- Individuals or legal entities if they, or any of their directors, managers, or shareholders, provide false and/or contradictory (inconsistent) information, which must be duly verified through documents, data, and information from an independent and reliable source.